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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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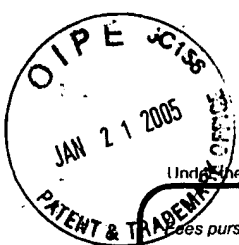
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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/852995 |
| | Filing Date | 5/10/2001 |
| | First Named Inventor | Harker |
| | Group Art Unit | 2167 |
| | Examiner Name | Black |
| Total Number of Pages in This Submission | | Attorney Docket Number 124-003 |

| ENCLOSURES (check all that apply) | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) and letter | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | - Statement Under 37 CFR 1.97(e) |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | - 1449 Form |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Please charge any deficiency or credit any overpayment to Deposit Account No. 502569. | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Holmes W. Anderson, , Reg. No. 37,272 Steubing McGuinness & Manaras LLP |
| Signature | |
| Date | January 17, 2005 |

| CERTIFICATE OF MAILING OR FACSIMILE | | | |
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| Type or printed name | Christine M. Morrisette | | |
| Signature | | Date | 1-18-2005 |

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PTO/SB/17 (12-04v2)

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180.00**Complete if Known**

| | |
|----------------------|-----------|
| Application Number | 09/852995 |
| Filing Date | 5/10/2001 |
| First Named Inventor | Harker |
| Examiner Name | Black |
| Art Unit | 2167 |
| Attorney Docket No. | 124-003 |

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | _____ |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | _____ |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | _____ |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | _____ |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | _____ |

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

| Fee (\$) | Small Entity Fee (\$) |
|----------|-----------------------|
| 50 | 25 |
| 200 | 100 |
| 360 | 180 |

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| | | | | |
|---------------------|---------------------|---|-----------------|----------------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
| _____ - 100 = _____ | _____ / 50 = _____ | (round up to a whole number) x _____ | = _____ | |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \$ 180.00 for Information Disclosure Statement

Fees Paid (\$)

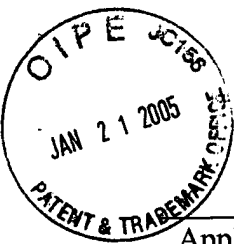
\$ 180.00

SUBMITTED BY

| | | | |
|-------------------|--------------------|--|------------------------|
| Signature | | Registration No. (Attorney/Agent) 37,272 | Telephone 978-264-6664 |
| Name (Print/Type) | Holmes W. Anderson | Date | Jan 17, 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harker

Serial No.: 09/852995

Filed: 5/10/2001

Title: Recipient Controlled Contact
Directories

Attorney Docket No.: 124-003

Group Art Unit: 2167

Examiner: Black

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT


Dear Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Statement under 37 CFR 1.97(e), or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first).
- ☒ under 37 CFR 1.97(d) together with a:
- ☒ Statement under 37 CFR 1.97(e), and
- ☒ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

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Date of Deposit: 1-18-2005

Typed Name: Christine M. Morrisette

Signature: Christine M. Morrisette

Holmes W. Anderson

Holmes W. Anderson«
Attorney/Agent for Applicant(s)
Reg. No. 37,272

Date: January 17, 2005

Telephone No.: 978-264-6664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

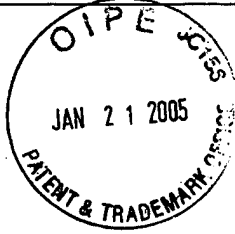
Inventor(s): Harker

Serial No.: 09/852995

Filing Date: 5/10/2001

Title: Recipient Controlled Contact
Directories

Attorney Docket No.: 124-003



Examiner: Black

Group Art Unit: 2167

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STATEMENT UNDER 37 CFR 1.97(e)

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The undersigned hereby certifies that either:

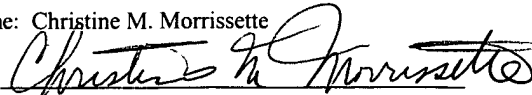
- (X) Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- () No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
 - to the knowledge of the undersigned, after making reasonable inquiry, was known to an individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully Submitted,

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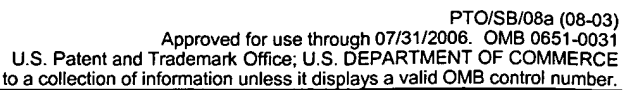
Signature: 

By 

Holmes W. Anderson
Attorney/Agent for Applicant(s)
Reg. No.: 37,272

Date: January 17, 2005

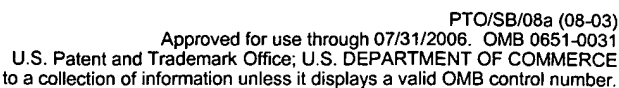
Telephone No.: 978-264-6664



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| Application Number | 09/852995 |
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| Art Unit | 2167 |
| Examiner Name | Black |
| Attorney Docket Number | 124-003 |

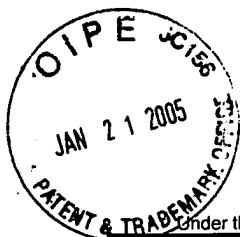
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| Sheet | 1 | of | 4 |
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Sheet 2 of 4

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PTO/SB/08b (08-03)

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

3

of

4

Complete if Known

| | |
|------------------------|-----------|
| Application Number | 09/852995 |
| Filing Date | 5/10/2001 |
| First Named Inventor | Harker |
| Art Unit | 2167 |
| Examiner Name | Black |
| Attorney Docket Number | 124-003 |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------|---|----------------|
| | | NORTEL NETWORKS, Nortel's Leadership Drive in Wireless Internet, Nortel's Technology Perspectives, Monday, October 19, 1998, 11 Pages, Corporate and Technology Communications, Ottawa, Canada. | |
| | | One page photo copy of a newspaper article. Financial Times June 9, 1999. | |
| | | 12 pages downloaded from http://www.icq.com on August 11, 1999. | |
| | | 4 pages downloaded from http://messenger.msn.com on August 11, 1999 | |
| | | 3 pages downloaded from http://www.generalmagic.com on August 11, 1999 | |
| | | 5 pages downloaded from http://www.wildfire.com on August 11, 1999 | |
| | | 7 pages downloaded from http://www.oz.com/pulse , August 1999 | |
| | | 2 pages downloaded from http://www.lucent.com on April 6, 2000 comprising a press release date June 9, 1999. | |
| | | GUIDO APPENZELLER ET AL., The Mobile People Architecture, Technical Report: CSL-TR-00000, January 1999, 13 pages. | |
| | | G. MOHR ET AL., PIP-DEMO: An Interoperable Presence Information Protocol, August 1998. | |
| | | SHINGO FUJIMOTO ET AL., IDentity Infrastructure Protocol (IDIP) August 6, 1998, 20 pages. | |

Examiner
Signature

Date

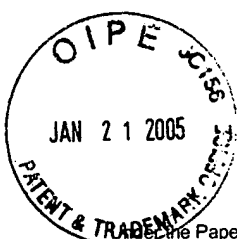
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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| | | Application Number | 09/852995 | | |
| | | Filing Date | 5/10/2001 | | |
| | | First Named Inventor | Harker | | |
| | | Art Unit | 2167 | | |
| | | Examiner Name | Black | | |
| Sheet | 4 | of | 4 | Attorney Docket Number | 124-003 |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|----------|---|----------------|
| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | BHASKARAN RAMAN ET AL., The Iceberg Project: Defining the IP and Telecom Intersection, IT Pro November 1999, 8 pages. | |
| | | S. AGGARWAL, ET AL., Presence Information Protocol Requirements, August 7, 1998, 4 pages | |
| | | MARTIN CALSYN, Rendezvous Protocol, November 21, 1997, 21 pages. | |
| | | CALSYN & DUSSEAUT, Presence Information Protocol Requirements, February 9, 1998, 13 pages. | |
| | | ROSENBERG ET AL., SIP For Presence, November 13, 1998, 18 pages. | |
| | | ANTHONY D. JOSEPH ET AL., The Case for Services over Cascaded Networks, October 30, 1998, 9 pages. | |
| | | DAVID G. BOYER ET AL, The Personal Presence System - A Wide Area Network Resource for the Real Time Composition of Multipoint Multimedia Communications, October 1994, 8 pages. | |
| | | 5 pages downloaded from www.ruel.net/top/box.news.peach.viewgraphics.990910.htm, August 17, 2003 | |
| | | MARK DAY ET AL, Instant Messaging/Presence Protocol Requirements, August 13, 2003, 19 pages. | |
| | | KEITH PLEAS, The Evolution of NT-DCOM in NT 5.0, Windows & Net Magazine Network, September 1996, 2 pages. | |
| | | | |

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| Examiner Signature | | Date Considered | |
|---------------------------|--|------------------------|--|

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†Applicant's unique citation designation number (optional). ‡Applicant is to place a check mark here if English language Translation is attached.
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